General Implementation

1. Each State Party shall implement this Treaty in a consistent, objecting and non-discriminatory manner. This Treaty shall not be inconsistent with obligations previously undertaken with regards to bi-lateral or multi-lateral agreements, provided that the agreements are consistent with the goals and objectives of this Treaty.

2. Each State Party shall take all appropriate legislative and administrative measures necessary to implement the obligations of this Treaty and designate competent national authorities in order to have a transparent, predictable, and effective national control system regulating the transfer of conventional arms.

3. Each State Party shall establish one or more national contact points for issues related to this Treaty. A State Party shall notify the Implementation Support Unit (see Article XX) of its national contact point(s).

4. Each State Party is encouraged to maintain consultations and to share information regarding the implementation of this Treaty through national points of contact to support the application and implementation of this treaty.

5. State Parties involved in a transfer shall take all necessary measures to prevent diversion of conventional arms to the illicit market or to an unintended user. Exporting States shall, where appropriate, take measures to ensure that the transfer is delivered to the intended end-user. All other States Parties in involved in the transfer shall cooperate as appropriate with the exporting State to that end.

Export

1. Each State Party shall conduct risk assessments, as detailed in Article XX, whether to grant authorizations for the transfer of conventional arms under the scope of this Treaty. States Parties shall apply Article XX consistently, taking into account all relevant information, including the nature and potential use of the items to be transferred and verified end-user in the country of final destination.

2. Each State Party shall take measures to ensure all authorizations for the export of conventional arms under the scope of the Treaty are detailed and issued prior to the export. Appropriate and relevant details of the authorization shall be made available to the importing, transit and transshipment State Parties, upon request.

Import

1. Importing State Party shall take measures to ensure that appropriate and relevant information is provided, upon request, to the exporting State Party to assist the exporting State in its criteria assessment and to assist in verifying end users.

2. Each State Party shall put in place adequate measures that will allow them, where necessary, to monitor and control imports of items covered by the scope of the Treaty. State Parties shall also adopt appropriate measures to prevent the diversion of imported items to unauthorized end-users to the illicit market.
3. Importing State Party may request, where necessary, information from the exporting State Party concerning potential authorizations.

**Brokering**

1. Each State Party shall take the appropriate measures, within national laws and regulations, to control brokering taking place under its jurisdiction or control in the context of transfers under this Treaty.

**Transit and Transshipment**

1. Each State Party shall adopt appropriate legislative, administrative or other measures to monitor and control, where necessary and feasible, conventional arms covered by this Treaty that transit or transship through territory under its jurisdiction, consistent with international law with due regard for innocent passage and transit passage.

2. Importing and exporting State Party shall cooperate and exchange information, where feasible and upon request, to transit and transshipment State Parties, in order to mitigate the risk of diversion.

**Reporting, Record-Keeping and Transparency**

1. Each State Party shall maintain records of conventional arms authorizations or exports for items under the scope of Paragraph A1, Article XX. Such records may contain, inter alia, quantity, model/type, arms transfers authorized, arms actually transferred, details of transit State(s), recipient State(s) and end users. Records shall be kept for a minimum of ten years, or consistent with other international commitments applicable to the State Party.

2. Each State Party shall maintain records for conventional arms transferred to their territory as the final destination under the scope of Paragraph A1, Article XX, where feasible. Such records may contain, inter alia, quantity, model/type, arms actually transferred, details of transit State(s) and exporting State(s). Records shall be kept for a minimum of ten years, or consistent with other international commitments applicable to the State Party.

3. Each State Party shall maintain records of conventional arms authorized to transit or transship their territory under the scope of Paragraph A1, Article XX, where feasible. Such records may contain, inter alia, quantity, model/type, details of transit State(s), recipient State(s) and end users. State Parties may use national customs statistics to record this information. Records shall be kept for a minimum of ten years, or consistent with other international commitments applicable to the State Party.

4. State Parties may report to the Implementation Support Unit on an annual basis any actions taken to address the diversion of conventional arms to address the diversion of conventional arms to the illicit market.

5. Each State Party shall, within the first year after entry into force of this Treaty for that State Party, submit an initial report to the Implementation Support Unit of all activities undertaken in order to implement this Treaty, including inter alia,
domestic laws, regulations and administrative measures. State Parties shall report on any new activities undertaken in order to implement this Treaty, when appropriate. Reports shall be distributed and made public by the Implementation Support Unit.

6. Each State Party shall submit annually to the Implementation Support Unit by 31 May a report for the preceding calendar year concerning the authorization or actual transfer of items included in Paragraph A1, Article XX. Reports shall be distributed and made public by the Implementation Support Unit. The report submitted to the Implementation Support Unit may be the same report submitted by the State Party to the UN Register of Conventional Arms for the same calendar year.

Enforcement

1. Each State Party shall adopt national legislation or other appropriate national measures including law enforcement, judicial mechanisms and administrative and/or criminal and civil penalties as may be necessary to implement the obligations of this Treaty.